## FORM PTO-1390

## U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## ATTORNEY'S DOCKET NUMBER P/1071-1618

TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

PRIORITY DATE CLAIMED

TITLE OF INVENTION

(REV. 2-2005)

PCT/JP2004/017145

INTERNATIONAL FILING DATE 18 November 2004

17 February 2004

VOLTAGE CONTROLLED OSCILLATOR

INTERNATIONAL APPLICATION NO.

APPLICANT(\$) FOR DO/EO/U\$

- Akira KATO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- [X] An Executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [ ] An Application Data Sheet under 37 CFR 1.76
- 15. [X] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter. 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify): 7 sheets of drawings; ADS; publication, International Search Report with English language translation, substitute specification showing changes made

THIS CORRESPONDENCE IS BEING SUBMITTED ELECTRONICALLY THROUGH THE PATENT AND TRADEMARK OFFICE EFS FILING SYSTEM ON August 11, 2006.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)				PCT/JP2004/017145				P/1071-1618		
									CALCULATIONS	PTO USE ONLY
The following fees have been submitted								\$300.00		
21. [X] Basic national fee.       \$300         22. [X] Examination fee									\$200.00	
If International preliminary examination report prepared by USPTO and all claims satisfy									\$200.00	
provisions of PCT Article 33(1)-(4)									1	
All other situations\$200									0.400.00	
23. [X] Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an									\$400.00	
International Searching Authority										
International Search Report prepared and provided to the Office										
All other situations. \$500										
TOTAL OF 21, 22 and 23 =									\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding									\$	
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets Extra				er of each additional 50 or RATE			TE	1		
			fraction then	fraction thereof (round up to a whole number)		mber)				
- 100 =		/50 =					x \$	250	S	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the									\$	
earliest claimed priority date (37 CFR 1.492(e)).										
CLAIMS		NUMBER FILED		NUMBE	REXTRA	RA RATE		TE	\$	
Total Claims		9 - 20 =		(	)	2	x \$ 50		\$	
Independent Claims		1 - 3 =		0		x \$200		0	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360									\$	
TOTAL OF ABOVE CALCULATIONS =									\$900.00	
[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.									\$	
SUBTOTAL =									\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from									s	
the earliest claimed priority date (37 CFR 1.492(f)). +  TOTAL NATIONAL FEE =									\$900.00	
									\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									3	
TOTAL FEES ENCLOSED =									\$900.00	
									Amount to be refunded:	\$
									Amount to be charged:	\$
a. [X] Credit card payment for the required filing fee of \$900.00 is being submitted										
										,
b. [ ] Please charge my Deposit Account No. 15-0700 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.										
c. [X] The Com									or credit any	
NOTE: Where an app	propriate time	limit under		not been met	a petition to	revive	1 1S 6	nciosed. R 1.137(a) oi	(b)) must be filed a	nd granted to
restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO: James A. Finder							/	А	-	
Ostrolank Faher Garb & Soffen LLP								/	<u> </u>	
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New York, New York 10036-8402					Registration Number: 30,173 August 11, 2006 Telephone: (212) 382-0700					